

# Anesthesia, Pain, Surgery and Immune Responses

Charles A. Griffis, CRNA, PhD

Assistant Clinical Professor

UCLA Department of Anesthesiology

[cgriffis@mednet.ucla.edu](mailto:cgriffis@mednet.ucla.edu)

# Talking Points

- Introduction
- Review of Immune System Basics
- Perioperative Sources of Stress:
  - Psychological Stress
  - Surgical Stress (Pain, Hemodynamic and Temperature Effects, Hyperglycemia, Inflammation) and Immune Modulation
  - Anesthetic Agents and Immune Function
  - Opioids, Pain, and Immune Function
- Clinical Implications

# Introduction

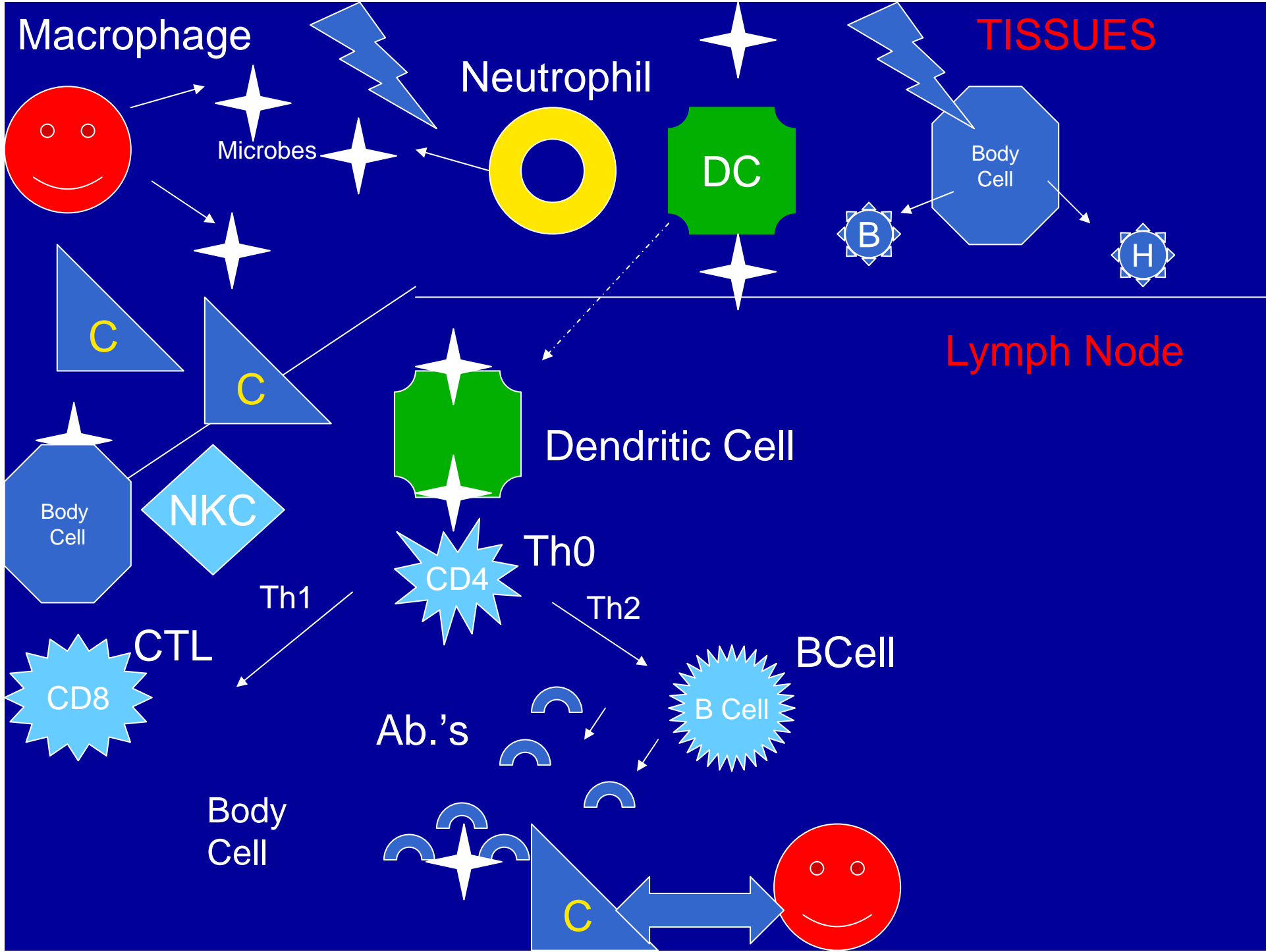
- Immune system, who cares?
- Surgery + anesthesia = immune depression
- Dangerous for patients with pre-existing immunosuppression
- Bad news for ANY patient at risk for postop infections

# Review of Immune Basics

- Innate: cells damaged, inflamm mediators, mono/macrophages, neutrophils , NKC's hunt for non-self microbes, dead cells
- Innate cells take antigen back to lymph nodes: turn on "adaptive" lymphocytes
- Adaptive immune response targets specific antigens[even inside body cells] with killer cells, antibodies
- T-lymphocytes, B-lymphocytes

# Review of Immune Basics

- Initial stimulation Innate Immune cells 2° inflammatory response; PGE2 is produced
- Eventual global depression of both responses by anesthetic agents + PGE2
- Innate immune stimulation => Adaptive immune depression (Due to effects of innate effector molecules, e.g. NO; H<sub>2</sub>O<sub>2</sub>)



Point A:

Surgery,  
anesthesia

What's the missing  
LINK???

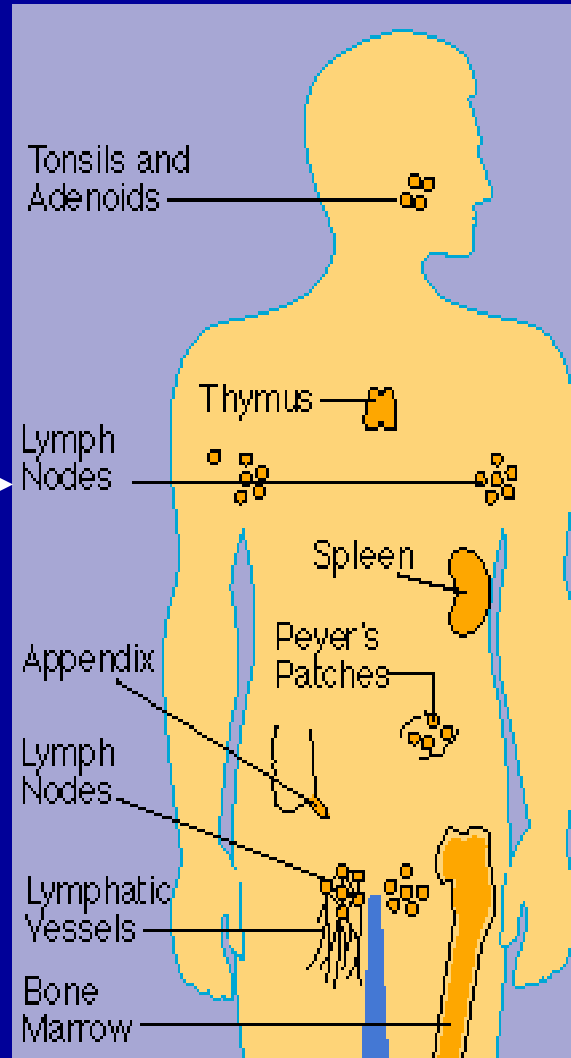
Point B:

Immune  
Depression

Perioperative

Stressors:

Environmental  
factors  
activating the  
immune system

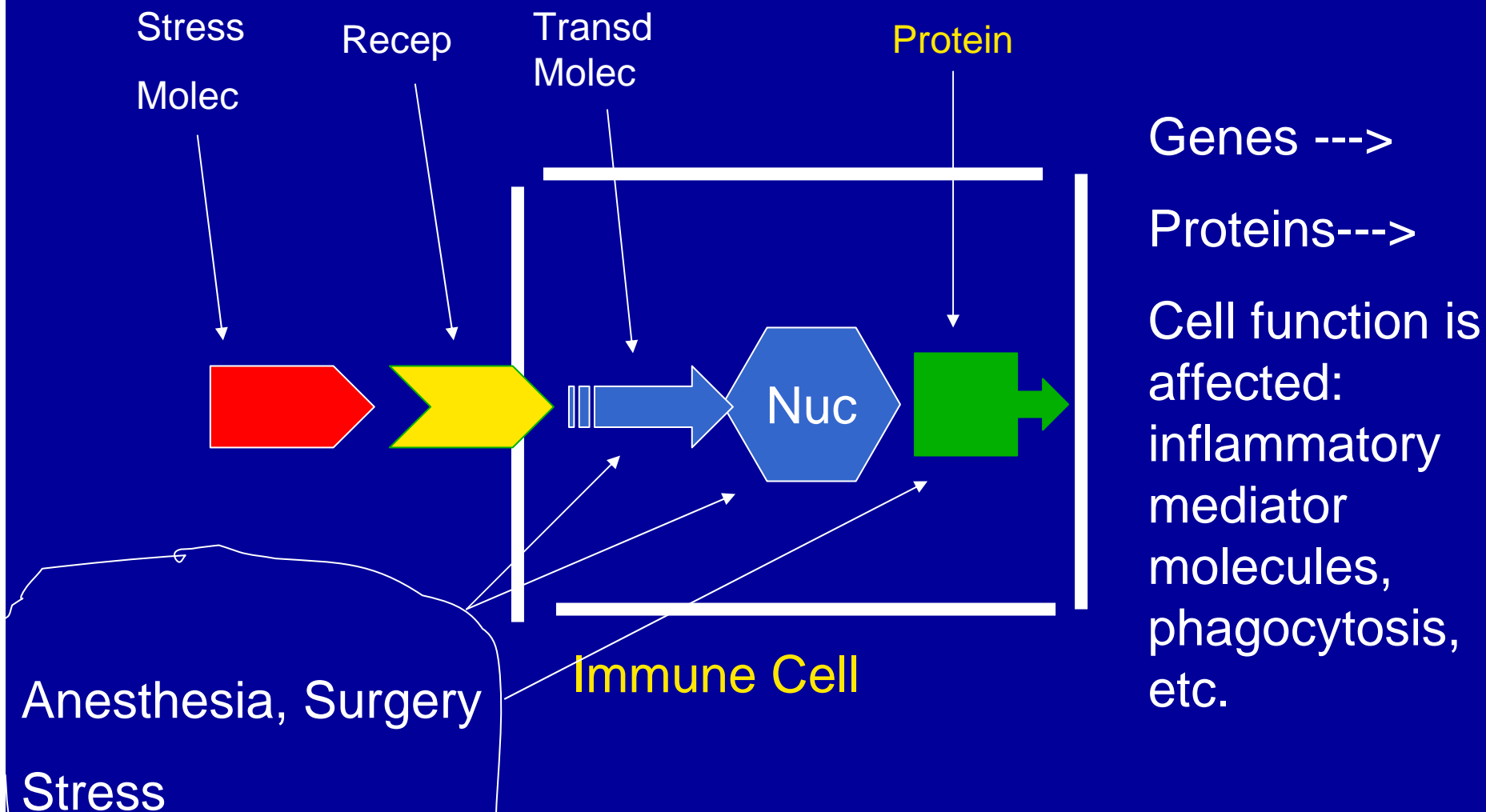


Immune System

Outcomes

Postoperatively

# Link between ↓ immune cell function and perioperative stress



# Perioperative

## Stressors

Psychological

Surgical: Pain-stress hormones, hyperglycem

Surgical: Altered organ perfusion; hypothermia

Surgical: Inflammatory Response

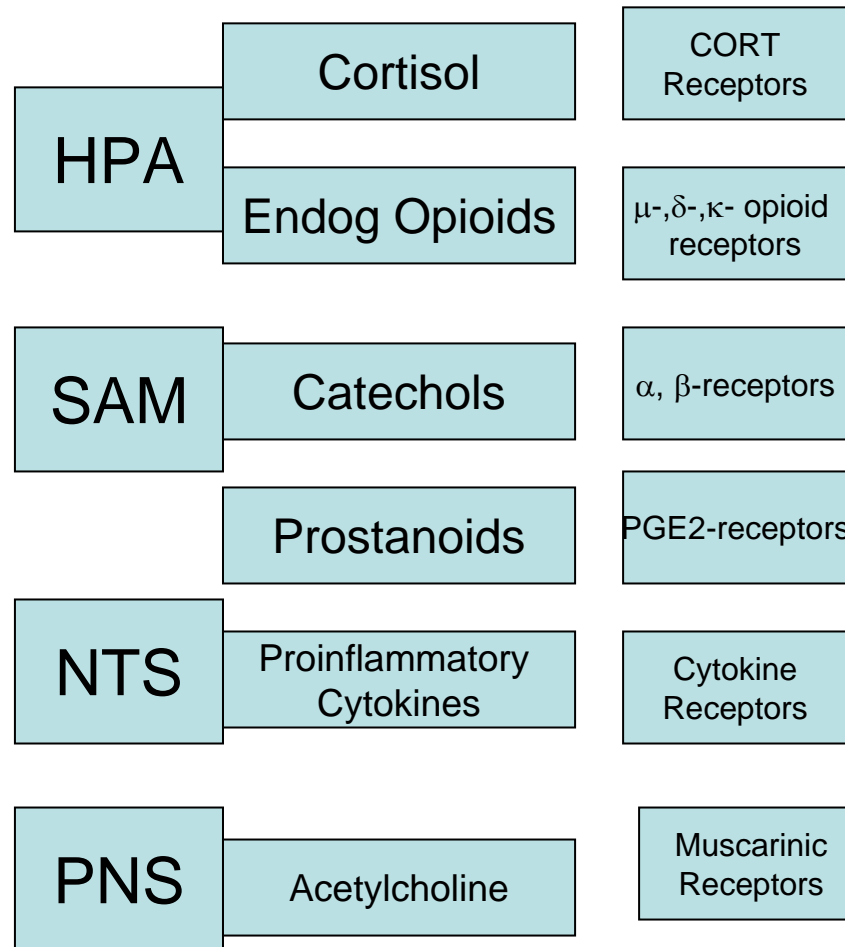
Anesthetic Agents

Opioids: Endog vs Exogen

# Mediating Pathways

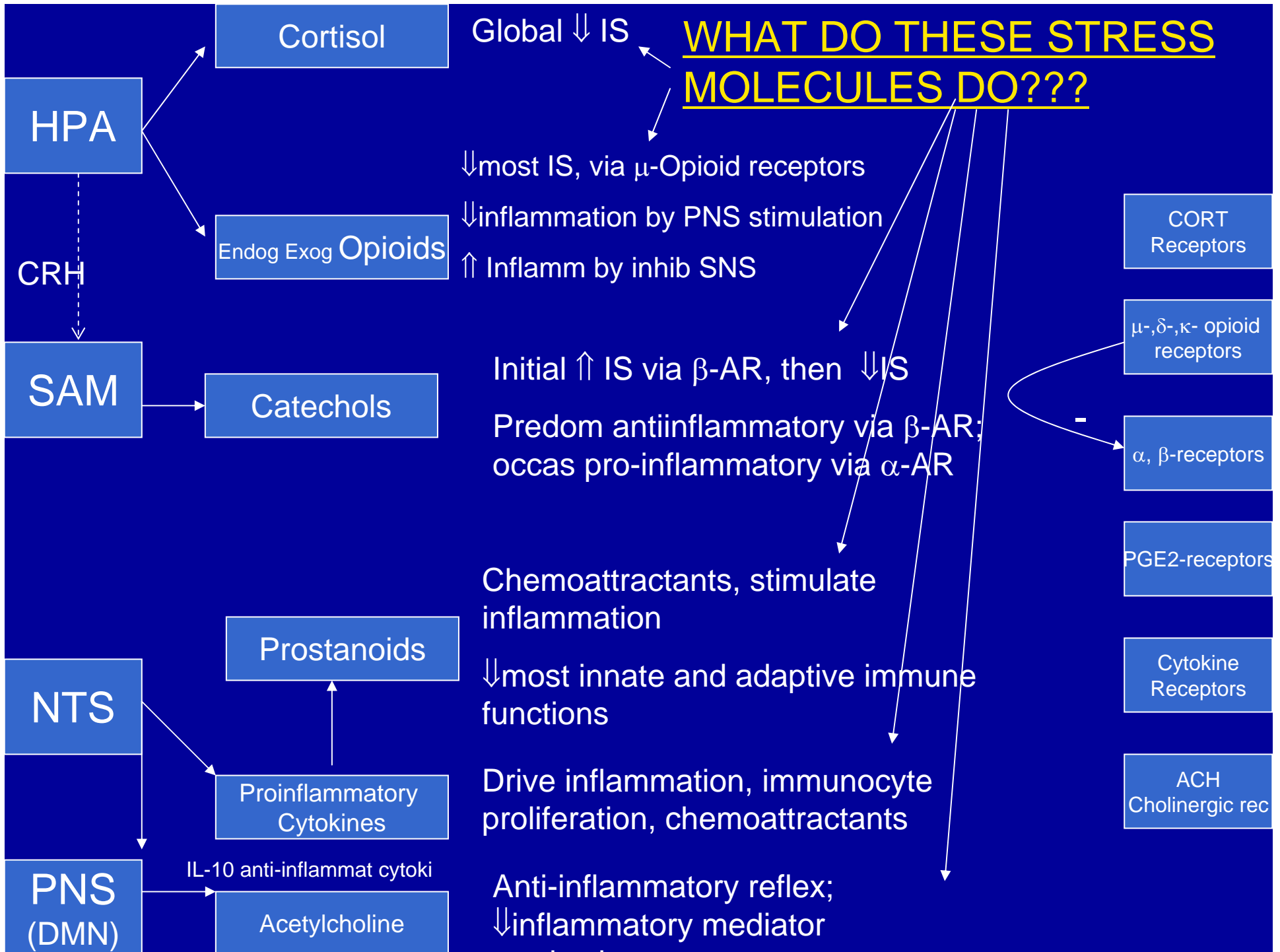
Ligand molecules

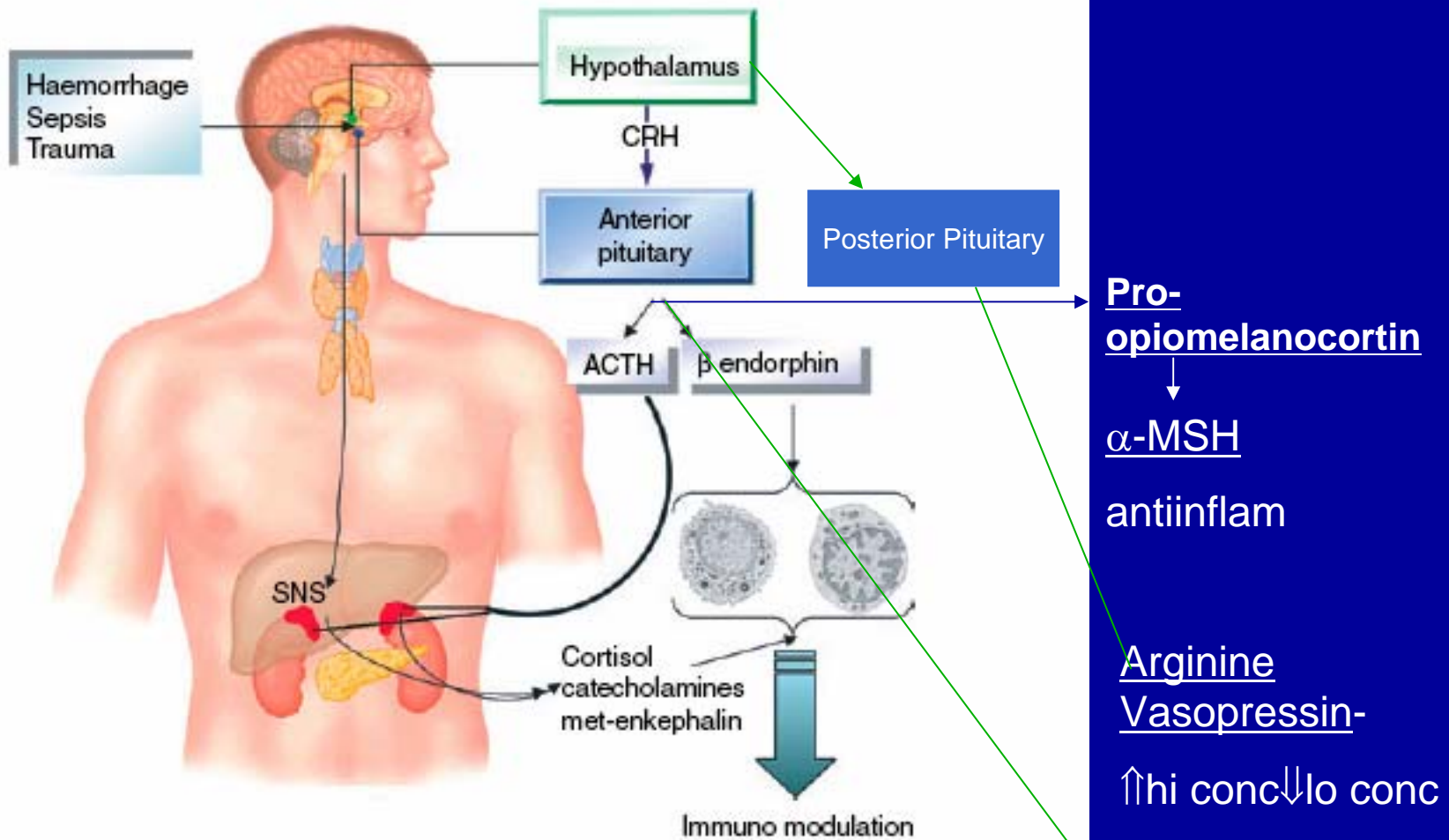
Receptors



Immune Outcomes: Innate vs Adaptive; Cell numbers Function

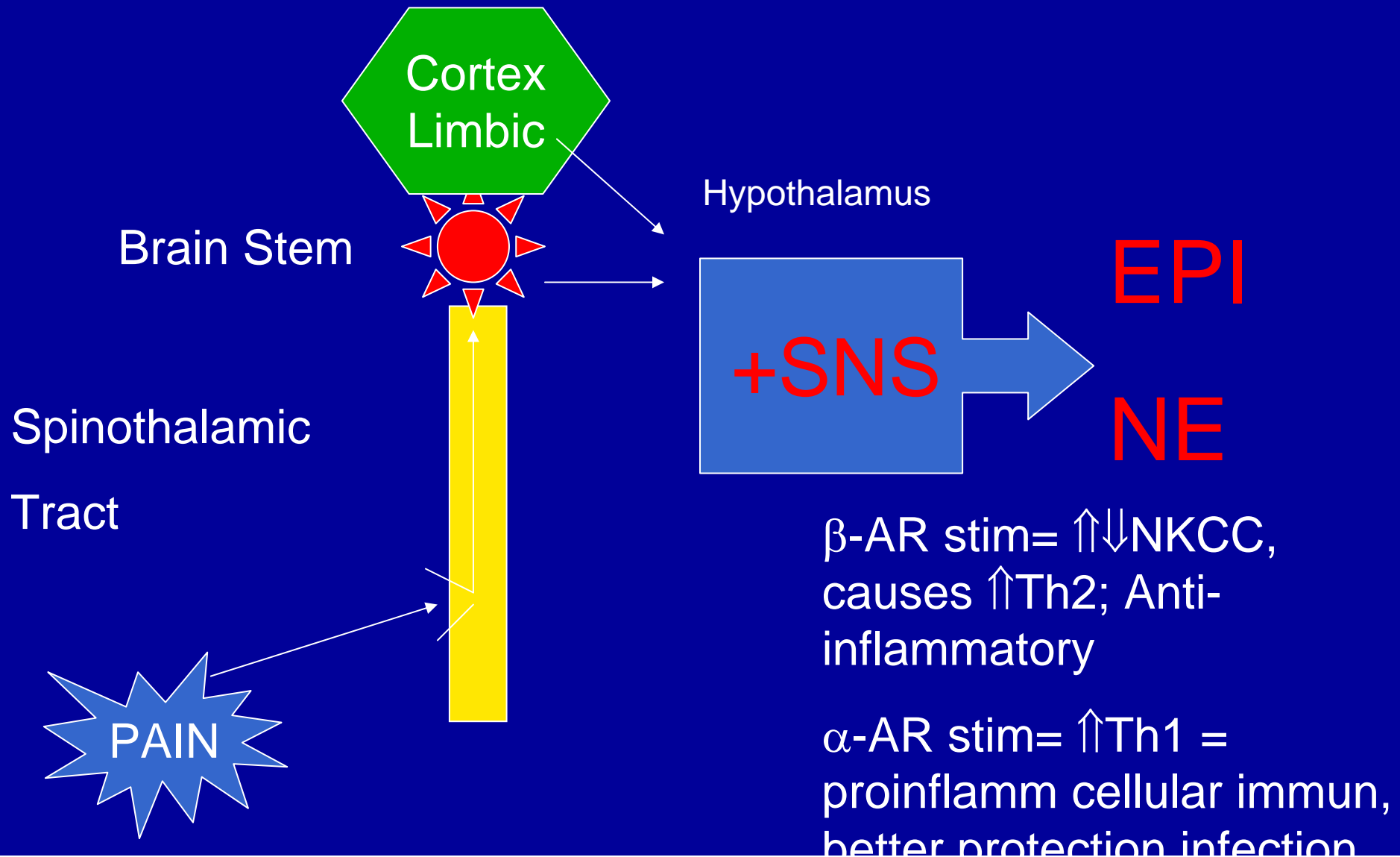
# WHAT DO THESE STRESS MOLECULES DO???





From Molina, 2005

# Pain-related Sympathetic Nervous System Activation



# Psychological Sources of Perioperative Immune Stress

- **FEAR, ANXIETY:** pain, loss of control, loss of function, loss of life, altered body image
- Neural pathways from higher cortex/limbic processing centers via median forebrain bundle to paraventricular nucleus of hypothalamus and brainstem to activate stress axes

# Surgical Pain: Nociception and its Immune Effects

- Reflex SAM activation=**EPI, NE**
- Cerebral cortex contribution in PACU
- Reflex HPA activation=**CORTISOL**
- Hyperglycemia--**EPI** Glycolysis, **CORT**:
  - ↓Immune cell func., activates mediators
- Brainstem activation: proinflamm mediators?
- Pain and Stress response proportional to size of surgical intervention
- Remember: Opioids combat these effects!!

# Pain and Inflammation: A relationship?

- Inflammation--->pain; but does Pain--->inflammation?
- Griffis, Kaufman et al.: Preliminary evidence of relationship.
- Pilot study: 10 healthy subjects, 45 min of acute earlobe pain, sig elevation epi and CD8+CD11a+ cells, correlated with pain perceptions. Conclusion: nociceptive pain may cause increased cellular adhesion molecule expression, which can drive inflammation.

# Invasive Surgery: End-organ and Hemodynamic Effects

- Invasive surgery: significant organ manipulation  
↑Inflammatory Response.
- Massive fluid shifts; blood transfusion. ↓ organ perfusion; ischemia.
- Blood pressure ↑↓: SNS activation
- Hypothermia: known immune depressant; vasoconstrict ↓ O<sub>2</sub> tension to immunocytes; cell functions depressed in cold environment
- Laparoscopic approaches avoid many of these potential complications, immune-sparing

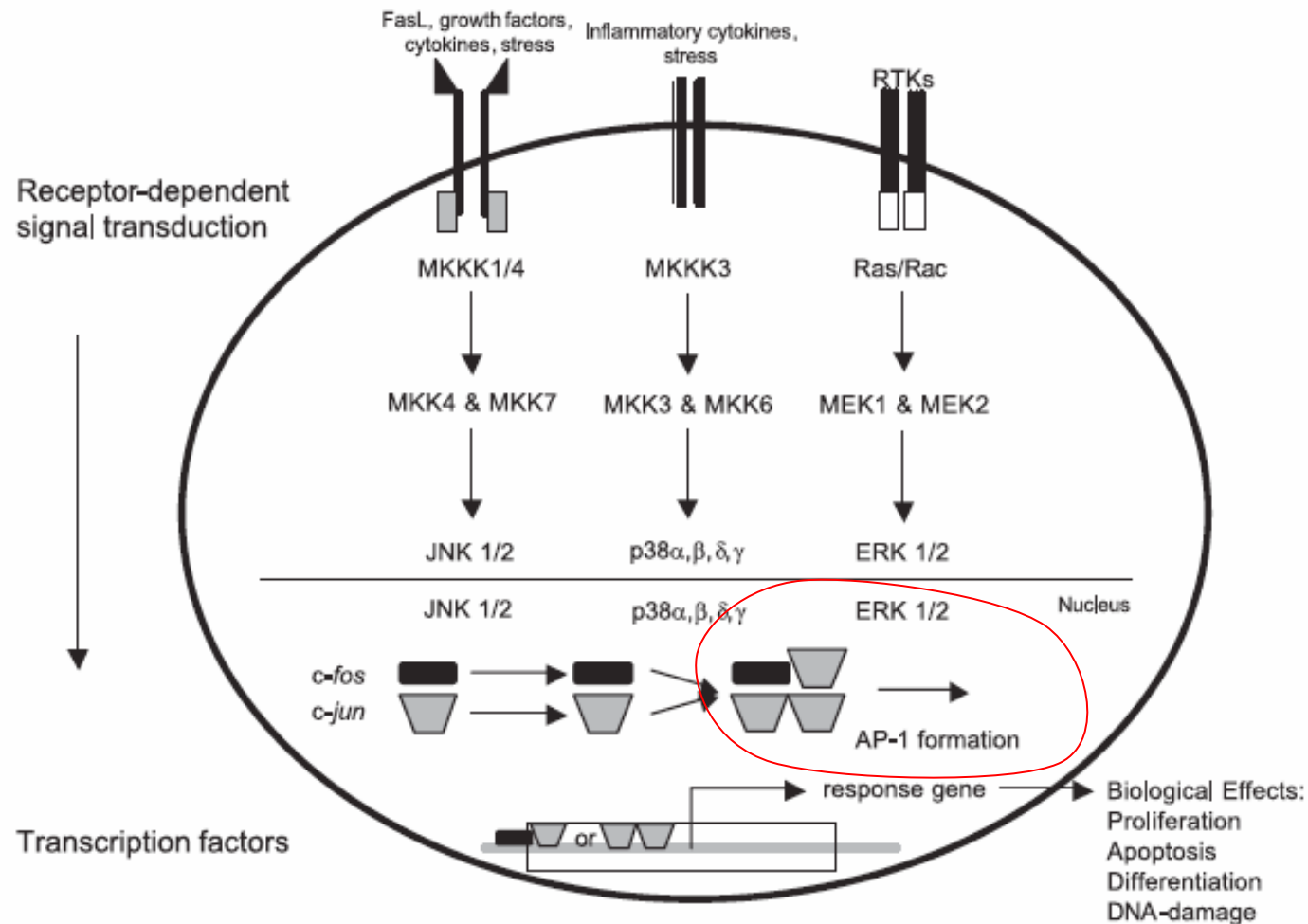
# Inflammatory response to surgical intervention

- Arachidonic acid >> COX1,2 >> PGE2 (direct lymphocyte depressant)
- Arachidonic acid >> Lipo.ox >> Leukotrienes
- Damaged tissues release other mediators (histamine, bradykinin)
- Innate cells STIMULATED == ↑↑ Inflammation as innate cells contribute mediator molecules
- Eventual DEPRESSION of innate and lymphocyte adaptive cells
- **Bigger** the surgery, **bigger** the inflammation

# Anesthetic Agents and Immune Function

- Historically, perioperative immune suppression has been observed (Loop, 2004; Schneemilch, 2005)
- Effects of inhaled agents = ↓ immune cell functions
- N2O and Potent: Halo Iso Desflurane all ↓ IF  
Sevoflurane: ↓ AP-1 transcription factor  
Attenuated intracellular Ca<sup>++</sup> release = ↓ iNO synthase; ↓ interferon NKC response = generally antiinflammatory, but not immune-sparing -- directly suppressive of adaptive immunity as well.

Sevoflurane specifically interferes with transcription factor functions; inhibit Activator Protein-1 (AP-1) pathway Loop et al., 2004. Sevo also causes activation of caspases and mitochondria membrane breakdown====apoptosis.



# Anesthetic Agents

- Local anesthetics--inhibit inflam cascade multiple levels--via non-Na<sup>+</sup>chan receptors; M1 muscarinic and G-prot coupled receptors at low concentrations (Homburger)
- Intravenous
  - Thiopental: ↓cell prolif, IL-2 prod (Schneemilch)
  - Propofol: ↓cell prolif, IL-2 prod (Schneemilch)
  - Ketamine ↓mΦ function 2° mitochondrial membrane potential reduction (Chang)
  - Etomidate ↓Tcell proliferation to PHA (Devlin)

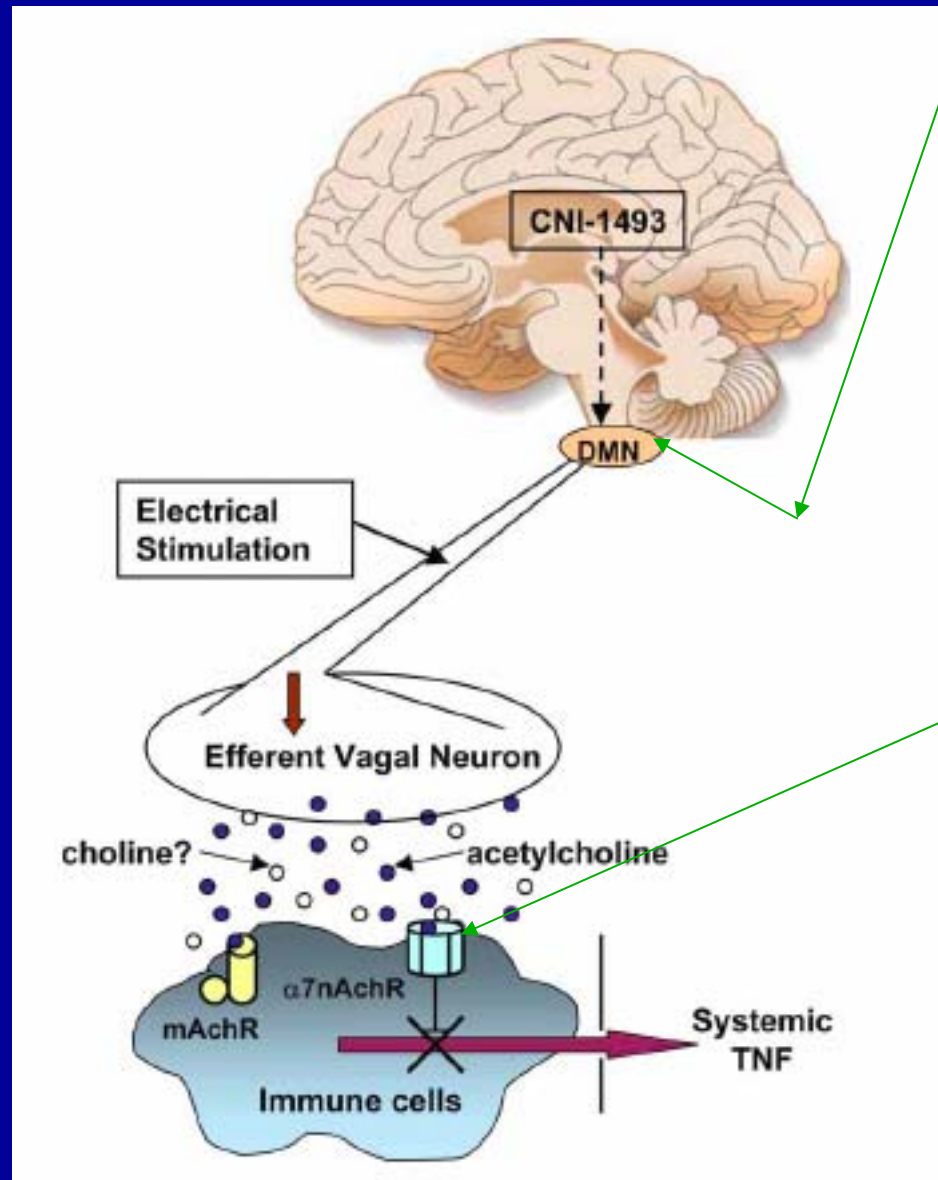
# Anesthetic Agents

- In absence of surgery, Procopio et al (2001) found NO significant effect of GA by mask (thiopental, isoflurane) or lumbar epidural anesthesia with 1.5% lidocaine as compared to control grp on multiple immune parameters *in vivo* (exam immune cells of volunteers)
- *In vitro* exposure of immune cells to these and other anesthetics caused significant impairments in similar immune measures.

# Opioids: endogenous and exogenous--complex immune effects

1. Opioid activation can  $\uparrow$  inflammatory response of innate cells ( $\mu$ OR's down-regulate  $\beta$ -AR's)
2. Opioids can  $\downarrow$  inflammatory response of innate cells (cholinergic receptor up-regulation)
3. Opioids-->  $\downarrow$  T cell func.;  $\downarrow$  Protein Kinase C (Molina; Homburger)
3. Recent studies: Fentanyl in lab  $\uparrow$  NKCs; following heart surgery  $\downarrow$  NKCs
4. Confusing evidence due to differences in study conditions; varying specific drug effects; and opposing autonomic mechanisms of effect.

Opioids may exert an anti-inflammatory, immunosuppressive effect by stimulating the medullary vagal dorsal motor nucleus. ACh molecules generated by efferent vagal output, interact with a subtype of cholinergic receptor to inhibit proinflammatory cytokine (PIC) release.



Pavlov & Tracey, 2005

# Opioid drugs, pain, and immune function: Bottom Line

- Though opioid drugs alone suppress NKC function via a specific naloxone-reversible mu-opioid receptor-mediated effect, interaction of this effect in the presence of pain-related catecholamine/stress molecules is UNCLEAR:
- Opioids in presence of pain may ↓stress, ↓neuroendocrine response and ↑immune func; animal studies document ↓metastasis when morphine admin to animals postop--better NKC function due to less stress?? Unclear causal pathway.
- **TREAT PAIN EFFECTIVELY--IT CAN ONLY HELP!!**

# Opioids with favorable immune effects

- Buprenorphine (Sacerdote, 2006). Partial  $\mu$ -agonist/antagonist.
- Tramadol (Sacerdote, 2000; Beilin, 1998).  $\mu$ -agonist and re-uptake inhibitor of norepinephrine and serotonin
- Favorable immune effects (lack or, or mild  $\downarrow$ immune cell function) mechanism unknown, but lack of pure MOR activity is a clue

# Regional Anesthesia and Immune Function

- Akural,E.2004. Preemptive epidur sufenta = less IL-6, IL-1 postop[hyst]. Acta Anaesthesiologica Scandinavica
- Beilin,B.2003, Postop pain manage w PCEA=↓IL-6; ↓ lymphocyte suppres[hyst]. Anesth Analg
- Beilin,B.2003. Preemp PCEA = less pain, less IL-6[hyst]. Anesthesiology
- Kim,C.2006. Less change in lympho, neutro counts epidural grp(cholecyst). J. Nippon Med Sch
- Norman,J.(1997). Epidural anesthesia did not affect stress, cytokine responses; only surg length[abdom aortic surg]
- Vuori,A.(2004). Opioids, NSAID, or epidural postop pain, no clinically sig differences in immune, stress responses. Acta Anaesth Scand[major abd thor ortho]
- Wang,G(2005). GA + epidural intraop and postop analgesia; one grp got tramadol = no diff IL-6/IL-10; tram = ↑IL-2. J.Clin.Anesth [pulmonary lobec]
- Wu,C.(2004). Epidural clonidine preop + PCEA morphine+clon vs. PCEA morphine = ↓IL-6, IL-8, IL-1ra[colorec surg]

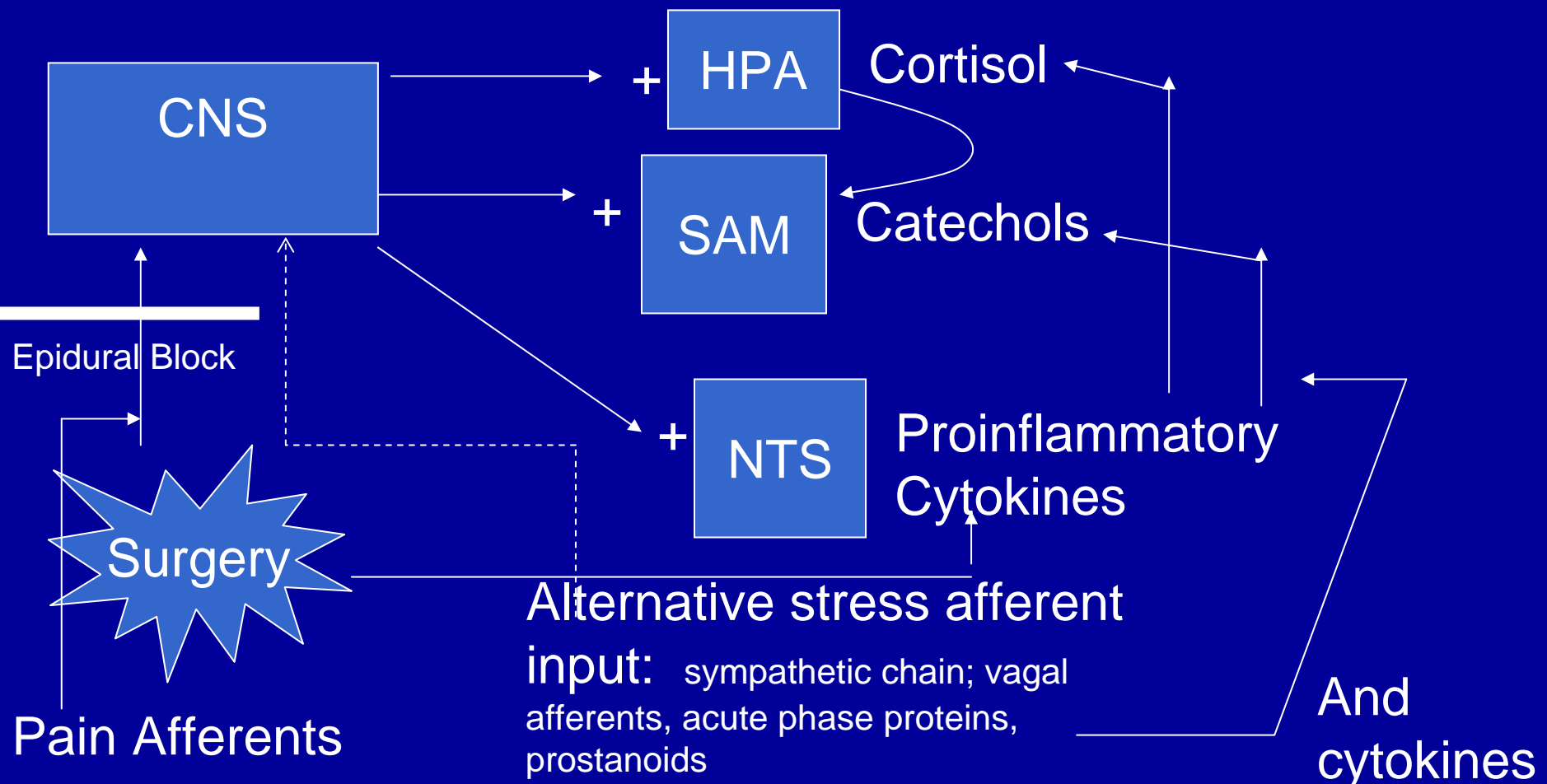
GA + epid; GA + epid +/- PCEA; PCEA after GA

Local anesthetics +/- opioids

# Regional Anesthesia and Perioperative Immune Function

- Review of these studies reveals:
  - Epidural anesthesia +/- opioid can prevent some perioperative immunosuppression, possibly modulate inflammatory changes
  - Seems to work best with lower body surgery; upper abdom/thoracic surg no difference in postop immune status GA vs epidural
  - In major surgery upper body, too many unblocked sensory afferents, sympathetic branches, vagal afferents, and too many mediator molecules produced = humoral stimulus to CNS stress axes

# Limited Beneficial Immune Effects of Epidural Analgesia for Thoracoabdominal Surgery



# Conclusions and Clinical Implications

- Stressors result in endogenous (and we administer) exogenous compounds that produce perioperative immune depression via receptor-mediated pathways.
- No evidence of significant morbidity in healthy populations, few studies = mild ↑ infections correlated with periop immune depression
- Immunosuppressed populations may be at increased risk for sequelae; no data

# Clinical Implications

- In selected patient populations, the preservation of immune function is a more serious priority
- Pre-existing immune system abnormalities predict greater risk of postoperative morbidity

# Populations at risk

- HIV-infection with AIDS
- Organ transplantation with iatrogenic global immune suppression
- Pre-existing immune suppression from chronic disease or malnutrition
- Cancer--blood cancers, even solid tumor CA; intact immune responses may ↓ metastasis risk; NKC immunosurveillance
- Severe trauma; extremely invasive surgery

# Recommendations for anesthesia care

- Provide preoperative emotional support for patients, along with an effective premed, ↓cortical and limbic input to stress response
- Maintain normothermia, especially in major surgery
- High  $\text{FiO}_2$ , maintain tissue oxygenation
- Treat hyperglycemia aggressively in hi risk pt
- Consider epidural analgesia and PCEA in suitable patients at risk for immune sequelae

# Recommendations

- Treat pain effectively, reducing stress response can only be beneficial to IS, regardless of direct opioid effects.
- NSAID analgesia when possible: block PGE2 immunosuppression; restrain inflammatory process and nociceptor upregulation (Mahdy,2002).
- Future opioid compounds may have a more favorable immune effect profile
- Consider use of novel opioids (tramadol and buprenorphine) in selected populations

# Severe SIRS, SICU Care

- Consider immunomodulation
- Pentoxifylline (Trental®): PDE inhibitors, stops proinflamm cytokine production ( $\text{TNF}\alpha$ )
- DHEA-estrogen precursor restores cellular immunity via estrogen receptors (Angele,2005)
- Flutamide--androgen blocker prevents decreased cellular immunity associated with male sex steroids
- G-CSF-leukocyte growth factor restores monocyte supply

# Bibliography

- Angele, M. (2005). Surgical trauma and immunosuppression. *Langenbeck's Arch Surg*
- Beilin, B. (1998) Effects of mild perioperative hypothermia. *Anesthesiology*
- Beilin, B. (1998) Tramadol does not impair the phagocytic. *Can. J. Anaesth.*
- Baker, RC (2002) Role of kidney in periop inflammation. *BJAnaes*
- Bierhaus, A (2003) A mechanism converting psychosocial stress. *Proc Nat Acad Sci*
- Calagni, E (2006) Stress system activity. *Ann NY Acad Sci*
- Chang, Y. (2005) Suppressing effects of ketamine. *Toxicol Applied Pharmacol*
- Chemnitz, J. (2006). Prostaglandin E2 impairs CD4+ T cell. *Cancer Res*
- Duncan, P. (1977). Thiopental inhibition of tumor immunity. *Anesthesiology*
- Fleisher-Berkovic, S. (2004). Multiple effects of arginine vasopressin. *Eur. J. Pharm.*
- Getting, S. (2006). Targeting melanocortin receptors as a novel approach to pain management. *Pharmacol. Ther.*
- Homburger, J. (2006) Anesthesia drugs, immunity, long-term. *Current Opin Anaesthesiology*
- Isowa, T. (2004) Reactivity of endocrine, immune, cardiac parameters. *Biol Psych*
- Kiecolt-Glaser, J. (1998). Psychological influences on surgical outcomes. *American Psychol*
- Kurz, A. (1996) Perioperative normothermia to reduce morbidity. *New Engl. J. Med*
- Kiefer, R. (2003) Local anesthetics impair granulocyte function. *Anesthesiology*

# Bibliography

- Loop,T.(2005) Volatile anesthetics induce caspase-dependent. Anesthesiology
- Loop, T. (2004) Sevoflurane inhibits. Anesthesiology
- Mahdy,A.(2002)Differential modulation of Interleukin 6. Br J. Anaes
- Markovic,S.N.(1993).Anesthesia inhibits interferon-induced. Cell Immunol.
- McBride,W.(1996). Immunomodulation: an important concept. Anaesthesia
- Molina,P.(2006).Opioids and opiates: analgesia with cardiovascular. J. Internal Medicine
- Novitsky,Y.(2004).The net immunologic benefit of laparoscopic. Surg.Endosc.
- Okano,M.(2006). E prostanoid 2 (EP2)/EP4-mediated. Immunology
- Page,G.(2006) Surgery-induced immunosuppression and. AACN Clinical Issues
- Pavlov, V. (2005). The cholinergic antiinflammatory pathway. Brain Behav Immun
- Piva,M.(2005). In vitro modulation of cytokine expression by enkeph.Neuroimmunomodulat.
- Sacerdote,P,(2000).The effects of tramadol. Anesthesia&Analgesia.
- Sacerdote,P.(2006). Opioids and the immune system. Palliat.Med
- Schneemilch,C(2005)Effects of different anaesthetic agents on immune. European J Anaest
- Tonnesen, E.(1987).Natural killer cell activity and lymphocyte. Anesthesiology
- Shavit,Y.(1984).Opioid peptides mediate immunosuppressive effects. Science.
- Willemsen,G(2002).Cellular and mucosal immune. Psychophysiology
- Yeager,M.(1995).Morphine inhibits spontaneous and cytokine.Anesthesiology

# Bibliography

- Yeager, M.(2002).Intravenous fentanyl increases natural killer cell. Anesthesia & Analgesis.